

Disclosure of Complaint Data by The Hongkong and Shanghai Banking Corporation Limited for Portfolio Advisory Services

Data for the month ending – January 2024								
Sr	Received from	Pending	Received	Resolve*	Total	Pending	Average	
no		at the end			Pending#	complaints	Resolution	
		of last				> 3 mths	time^ (in	
		month					days)	
1	Directly from Investors	0	0	0	0	0	0	
2	SEBI (SCORES)	0	0	0	0	0	0	
3	Other Sources (if any)	0	0	0	0	0	0	
	Grand Total	0	0	0	0	0	0	

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints							
SN	Year	Carried forward from previous year	Received	Resolved**	Pending##		
1	February 2023	NA	NA	NA	NA		
2	March 2023	NA	NA	NA	NA		
3	April 2023	NA	NA	NA	NA		
4	May 2023	NA	NA	NA	NA		
5	June 2023	NA	NA	NA	NA		
6	July 2023	0	0	0	0		
7	August 2023	0	0	0	0		
8	September 2023	0	0	0	0		
9	October 2023	0	0	0	0		
10	November 2023	0	0	0	0		
11	December 2023	0	0	0	0		
12	January 2024	0	0	0	0		

Trend of annual disposal of complaints							
SN	Year	Carried forward from previous year	Received	Resolved**	Pending##		
1	2021-2022	NA	NA	NA	NA		
2	2022-2023	NA	NA	NA	NA		
3	2023-2024	0	0	0	0		
	Grand Total	0	0	0	0		

** Inclusive of complaints of previous years resolved in the current year. ## Inclusive of complaints pending as on the last day of the year.